

**Assign
Roles**

**SECURITY ASSESSMENT
COMPONENTS**

Impact Assessment

Security Officer

- Define role and responsibility

Laws, Policy, Procedures

- Identify impacted
State and fed. laws,
Dept. policies and procedures

Use/Disclosure Protection

- Identify current processes
- Analyze physical safeguards
 1. Contingency plans
 2. Access controls
 3. Internal audits
 4. Termination and incident procedures
 5. Other
- Analyze technical safeguards
 1. Security Configuration management
 2. Authorization control for access and use
 3. Audit capability
 4. Other

Communication Security

- Identify/analyze current process
Integrity, authentication, content protection
- Identify Audit requirements

Business Associates

- Identify Chain of Trust partners
- Define contract requirements

Education/Awareness

Identify education requirement
Coordinate with Privacy

**SECURITY IMPLEMENTATION
COMPONENTS**

Implementation Plan

Security Officer

- Appoint Security Officer

Laws, Policy, Procedures

- Change impacted
Dept. policies and
procedures

Use/Disclosure Protection

- Implement physical safeguards
 1. Contingency plans
 2. Access controls
 3. Internal audits
 4. Termination and incident procedures
 5. Other
- Implement tech. safeguards
 1. Security Configuration management
 2. Authorization control for access and use
 3. Audit capability
 4. Other

Communication Security

- Change current process
Integrity, authentication,
content protection
- Implement Audit requirements

Business Associates

- Change contracts

Education/Awareness

- Design education requirements
Implement education

Regulatory

Standards

Administrative Procedures

1. Certification
2. Chain of Trust Partner Agreements
3. Contingency Plan
4. Formal Mechanism for processing records
5. Information Access Control
6. Internal audit
7. Personnel Security
8. Security Configuration Management
9. Security Incident Procedures
10. Security management process
11. Termination Procedures
12. Training

Physical Safeguards

13. Assigned Security Responsibility
14. Media Controls
15. Physical access controls
16. Policy/Guideline on workstation use
17. Secure work station location
18. Security Awareness training

Technical Security Services (Data at rest)

19. Access Control
20. Audit Controls
21. Authorization Control
22. Data authentication
23. Entity authentication

Technical Security Mechanisms (Data in transit)

24. Communications/network controls